

## Dr. Richard Groves: Patient Privacy Notice

As your treating clinician and therefore custodian of personal information relating to your medical treatment, I must only use that information in accordance with all applicable law and guidance. As a result of the General Data Protection Regulation introduced this year I am required to provide you with this Privacy Notice, which gives a detailed overview of how I will manage your data and how that complies with the law - I apologise for the length and apparent complexity of the document.

The GDPR gives you a number of rights as a data subject. You can, for instance, seek access to your medical information, object to me using your information in particular ways, request rectification of any information which is inaccurate or deletion of information which is no longer required (subject to certain exceptions). This Privacy Notice therefore also sets out your rights in respect of your personal information, and how to exercise them.

### Introduction

1. This Privacy Notice sets out details of the information that I, as a clinician responsible for your treatment (and including my medical secretaries), may collect from you and how that information may be used. In this Privacy Notice I use "I" or "mine" or "my" to refer to me as the clinician who is using your personal information.
2. In the event that you have any queries, comments or concerns in respect of the manner in which I have used, or potentially will use, your personal information then you should contact me directly and I will be happy to discuss further. I can be contacted via my office on 020 7616 7797 or [grovespa@thelondonclinic.co.uk](mailto:grovespa@thelondonclinic.co.uk).

### Your personal data

3. I am a "Data Controller" in respect of your personal information which I hold about you. I must comply with the data protection legislation and relevant guidance when handling your personal information, and so must any medical secretary who assists me in an administrative capacity.
4. I will generally provide your treatment from a hospital or clinic and on occasion it may be necessary for the hospital or clinic to also process your personal data. I will share such information in accordance with the law, the principles of this Privacy Notice and to the extent that it is necessary to do so. This could be where the hospital or clinic needs to arrange other healthcare services as part of your treatment, such as nursing or dietician advice, or support other aspects of the treatment which I provide to you. In that case, the hospital or clinic will become a joint Data Controller in respect of your personal information and you should be provided with a copy of their Privacy Notice which sets out how they will manage that information.

5. If you provide personal information to me about other individuals (including medical or financial information) you should inform the individual about the contents of this Privacy Notice. I will also process such information in accordance with this Privacy Notice.
6. In addition, you should note that in the event you amend data which I already hold about you (for instance by amending a pre-populated form) then I will update our systems to reflect the amendments. Our systems will continue to store historical data.

### **Personal information**

7. As one of my patients, the personal information I hold about you may include the following:
  - a) Name
  - b) Contact details, such as postal address, email address and telephone number (including mobile number)
  - c) Financial information, such as credit card details used to pay us and insurance policy details
  - d) Occupation
  - e) Emergency contact details, including next of kin
  - f) Background referral details

### **Special Categories of Personal Information**

8. I will also hold information relating to your medical treatment, which is known as a special category of personal data under the law, meaning that it must be handled even more sensitively. This may include the following:
  - a) Details of your current or former physical or mental health, including information about any healthcare you have received from other healthcare providers such as GPs, dentists or hospitals (private and/or NHS), which may include details of clinic and hospital visits, as well as medicines administered.
  - b) Details of services you have received from me
  - c) Details of your nationality, race and/or ethnicity
  - d) Details of your religion
  - e) Details of any genetic data or biometric data relating to you
  - f) Data concerning your sex life and/or sexual orientation
  - g) Images relevant to your condition
9. The confidentiality of your medical information is important to me, and I make every effort to prevent unauthorised access to and use of information relating to your current or former physical and mental health (or indeed any of your personal information more generally). In doing so, I will

comply with UK data protection law, including the Data Protection Act 2018 and all applicable medical confidentiality guidelines issued by bodies such as the General Medical Council.

### **How do I collect your information?**

10. I may collect personal information from a number of sources including, but not limited to:

- a) Directly from you
- b) GPs
- c) Dentists
- d) Other hospitals, both NHS and private
- e) Mental health providers
- f) Commissioners of healthcare services
- g) Other clinicians (including their medical secretaries)

11. Information may be collected directly from you when:

- a) You book to see me for the provision of healthcare services
- b) You use those services
- c) You complete enquiry forms on the my website
- d) You submit a query to me including by email or by social media
- e) You correspond with me by letter, email, telephone or social media.

12. I may also collect information about you from third parties when:

- a) You are referred to me for the provision of services including healthcare services
- b) I liaise with your current or former employer, health professional or other treatment or benefit provider
- c) I liaise with your family
- d) I liaise with your insurance policy provider
- e) I deal with experts (including medical experts) and other service providers about services you have received or are receiving from me
- f) I deal with NHS health service bodies about services you have received or are receiving from us
- g) I liaise with debt collection agencies

### **How will I communicate with you?**

13. I may communicate with you in a range of ways, including by telephone, SMS, email, and / or

post. If I contact you using the telephone number(s) which you have provided (landline and/or mobile), and you are not available which results in the call being directed to a voicemail and/or answering service, I may leave a voice message on your voicemail and/or answering service as appropriate, and including only sufficient basic details to enable you to identify who the call is from, limited detail as to the reason for the call and how to call me back.

14. However:

- a) to ensure that I provide you with timely updates and reminders in relation to your healthcare (including basic administration information and appointment information), I may communicate with you by SMS and/or unencrypted email (where you have provided me with your SMS or email address).
- b) to provide you with your medical information (including test results and other clinical updates), I may communicate with you by email (which will be encrypted) where you have provided me with your email address.

15. Please note that although providing your mobile number and email address and stating a preference to be communicated by a particular method will be taken as an affirmative confirmation that you are happy for us to contact you in that manner, I am not relying on your consent to process your personal data in order to correspond with you about your treatment. As set out further below, processing your personal data for those purposes is justified on the basis that it is necessary to provide you with healthcare service.

**What are the purposes for which your information is used?**

16. I may 'process' your information for a number of different purposes, and each time I use your data I must have a legal justification to do so. The particular justification will depend on the purpose of the proposed use of your data. When the information that we process is classed as a "special category of personal information", I must have a specific additional legal justification in order to use it as proposed.

17. Generally I will rely on the following legal justifications, or 'grounds':

- a) Taking steps at your request so that you can enter into a contract with me to receive healthcare services from us.
- b) For the purposes of providing you with healthcare pursuant to a contract between you and I. I will rely on this for activities such as supporting your medical treatment or care and other benefits, supporting your nurse, carer or other healthcare professional and providing other services to you.

- c) I have an appropriate business need to process your personal information and such business need does not cause harm to you. I will rely on this for activities such as quality assurance, maintaining my business records, monitoring outcomes and responding to complaints.
  - d) I have a legal or regulatory obligation to use such personal information.
  - e) I need to use such personal information to establish, exercise or defend my legal rights.
  - f) You have provided your consent to my use of your personal information.
18. Note that failure to provide your information further to a contractual requirement with me may mean that I am unable to set you up as a patient or facilitate the provision of your healthcare.

***Appropriate business needs***

19. One legal ground for processing personal data is where I do so in pursuit of legitimate interests and those interests are not overridden by your privacy rights. Where I refer to use for my appropriate business needs, I am relying on this legal ground.

**The right to object to other uses of your personal data**

20. You have a range of rights in respect of your personal data, as set out in detail below. This includes the right to object to me using your personal information in a particular way (such as sharing that information with third parties), and I must stop using it in that way unless specific exceptions apply. This includes, for example, if it is necessary to defend a legal claim brought against me, or it is otherwise necessary for the purposes of your ongoing treatment.

The following paragraphs set out the details of my legal grounds for each of our processing purposes. I have set out individually those purposes for which I will use your personal information and under each one I set out the legal justifications, or grounds, which allow me to do so. You will note that I have set out a legal ground, as well as an 'additional' legal ground for special categories of personal information. This is because I have to demonstrate additional legal grounds where using information which relates to a person's healthcare, as will be the case the majority of the times I use your personal information.

***Purpose 1: To set you up as my patient***

21. As is common with most businesses, I have to assemble basic data in order for you to become a patient.
22. **Legal ground:** Taking the necessary steps so that you can enter into a contract with me for the delivery of healthcare.
23. **Additional legal ground for special categories of personal information:** The use is necessary

for reasons of substantial public interest, such as reporting to PHIN (see below), and it is also in my legitimate interests to do so.

***Purpose 2: To provide you with healthcare and related services***

24. Clearly, the reason you come to me is to provide you with healthcare, and so I have to use your personal information for that purpose.

**25. Legal grounds:**

- a) Providing you with healthcare and related services
- b) Fulfilling my contract with you for the delivery of healthcare

**26. Additional legal grounds for special categories of personal information:**

- a) I need to use the data in order to provide healthcare services to you

***Purpose 3: For account settlement purposes***

27. I will use your personal information to ensure that your account and billing is accurate and up-to-date

**28. Legal grounds:**

- a) My providing you healthcare and other related services
- b) Fulfilling my contract with you for the delivery of healthcare
- c) My having an appropriate business need to use your information which does not overly prejudice you

**29. Additional legal grounds for special categories of personal information:**

- a) I need to use the data in order to provide healthcare services to you
- b) The use is necessary in order for me to establish, exercise or defend my legal rights.

***Purpose 4: For medical audit/research purposes***

30. I may process your personal data for the purposes of local clinical audit – i.e. an audit carried out by myself or my direct team for the purposes of assessing outcomes for patients and identifying improvements which could be made for the future. I am able to do so on the basis of my legitimate interest and the public interest in statistical and scientific research, and with appropriate safeguards in place.

31. I may also be asked to participate in medical research and share data with ethically approved third party research organisations. I will share your personal data only to the extent that it is necessary to do so in assisting research and as permitted by law. Some research projects will

have received statutory approval such that consent may not be required in order to use your personal data. In those circumstances, your personal information will be shared on the basis that:

**Legal grounds:**

- a) I have a legitimate interest in helping with medical research and have put appropriate safeguards in place to protect your privacy

**Additional legal grounds for special categories of personal information:**

- b) The processing is necessary for statistical and scientific research purposes

32. In the event that consent is required then either I will seek this from you, or the research agency will do so.

***Purpose 5: Communicating with you and resolving any queries that you might have.***

33. From time to time, patients may raise queries or complaints with me and the hospitals or clinics in which I operate and I take those communications very seriously. It is important that I am able to resolve such matters fully and properly and so I, as well as the hospital or clinic will need to use your personal information in order to do so.

**34. Legal grounds:**

- a) Providing you with healthcare and other related services
- b) Having an appropriate business need to use your information which does not overly prejudice you

**35. Additional legal grounds for special categories of personal information:**

- a) The use is necessary for the provision of healthcare or treatment pursuant to a contract with a health professional
- b) The use is necessary in order for me to establish, exercise or defend my legal rights

***Purpose 6: Communicating with any other individual that you ask us to update about your care and updating other healthcare professionals about your care.***

36. In addition, other healthcare professionals or organisations may need to know about your treatment in order for them to provide you with safe and effective care, and so I may need to share your personal information with them.

**37. Legal grounds:**

- a) Providing you with healthcare and other related services
- b) I have a legitimate interest in ensuring that other healthcare professionals who are

routinely involved in your care have a full picture of your treatment

**38. Additional legal ground for special categories of personal information:**

- a) I need to use the data in order to provide healthcare services to you
- b) The use is necessary for reasons of substantial public interest under UK law, including reporting to PHIN (see below).
- c) The use is necessary in order for me to establish, exercise or defend my legal rights

39. The Competition and Markets Authority Private Healthcare Market Investigation Order 2014 established the Private Healthcare Information Network (“**PHIN**”), as an organisation who will monitor outcomes of patients who receive private treatment. Under Article 21 of that Order, I am required to provide PHIN with information related to your treatment, potentially including your NHS Number in England and Wales, CHI Number in Scotland or Health and Care Number in Northern Ireland), the nature of your procedure, whether there were any complications such as infection or the need for readmission/admission to a NHS facility and also the feedback you provided as part of any PROMs surveys. PHIN will use your information in order to share it with the NHS, and track whether you have received any follow-up treatment. I will only share this information with PHIN if you have provided your consent for me to do so.

40. The records that I share may contain personal and medical information about patients, including you. PHIN, like me, will apply the highest standards of confidentiality to personal information in accordance with data protection laws and the duty of confidentiality. Any information that is published by PHIN will always be in anonymised statistical form and will not be shared or analysed for any purpose other than those stated. Further information about how PHIN uses information, including its Privacy Notice, is available at [www.phin.org.uk](http://www.phin.org.uk).

***Purpose 7: Complying with my legal or regulatory obligations, and defending or exercising our legal rights***

41. As a provider of healthcare, I am subject to a wide range of legal and regulatory responsibilities which is not possible to list fully here. I may be required by law or by regulators to provide personal information, and in which case I will have a legal responsibility to do so. From time to time, clinicians are unfortunately also the subject of legal actions or complaints. In order to fully investigate and respond to those actions, it is necessary to access your personal information (although only to the extent that it is necessary and relevant to the subject-matter).

**42. Legal grounds:**

- a) The use is necessary in order for me to comply with my legal obligations

**43. Additional legal ground for special categories of personal information:**

- a) I need to use the data in order for others to provide informed healthcare services to you

- b) The use is necessary for reasons of the provision of health or social care or treatment or the management of health or social care systems
- c) The use is necessary for establishing, exercising or defending legal claims

44. I am also required by law to conduct audits of health records, including medical information, for quality assurance purposes. Your personal and medical information will be treated in accordance with guidance issued by the Care Quality Commission (England), Health Inspectorate Wales and Healthcare Improvement Scotland

***Purpose 8: Managing my business operations such as maintaining accounting records, analysis of financial results, internal audit requirements, receiving professional advice (e.g. tax or legal advice)***

45. In order to do this, I will not need to use your special categories of personal information and so I have not identified the additional ground to use your information for this purpose.

46. **Legal grounds:**

- a) My having an appropriate business need to use your information which does not overly prejudice you

***Disclosures to third parties:***

47. I may disclose your information to the third parties listed below for the purposes described in this Privacy Notice. This might include:

- a) A doctor, nurse, carer or any other healthcare professional involved in your treatment
- b) Other members of support staff involved in the delivery of your care, like receptionists and porters
- c) Anyone that you ask me to communicate with or provide as an emergency contact, for example your next of kin or carer
- d) NHS organisations, including NHS Resolution, NHS England, Department of Health
- e) Other private sector healthcare providers
- f) Your GP
- g) Your dentist
- h) Other clinicians (including their medical secretaries)
- i) Third parties who assist in the administration of your healthcare, such as insurance companies
- j) Private Healthcare Information Network
- k) National and other professional research/audit programmes and registries, as detailed under purpose 4 above

- l) Government bodies, including the Ministry of Defence, the Home Office and HMRC
- m) Our regulators, like the Care Quality Commission, Health Inspectorate Wales and Healthcare Improvement Scotland
- n) The police and other third parties where reasonably necessary for the prevention or detection of crime
- o) Your insurers
- p) Debt collection agencies
- q) Credit referencing agencies
- r) Our third party services providers such as IT suppliers, auditors, lawyers, marketing agencies, document management providers and tax advisers
- s) Selected third parties in connection with any sale, transfer or disposal of our business
- t) I may also use your personal information to provide you with information about products or services which may be of interest to you where you have provided your consent for me to do so.

48. I may communicate with these third parties in a variety of ways including, but not limited to, email, post, fax and telephone.

#### **Automated Decision Making**

49. An automated decision is a decision made by computer without any human input, and there will be no automated decision-making in relation to your treatment or other decisions which will produce legal or similarly significant effects.

#### **How long do I keep personal information for?**

50. I will only keep your personal information for as long as reasonably necessary to fulfil the relevant purposes set out in this Privacy Notice and in order to comply with my legal and regulatory obligations.

51. If you would like further information regarding the periods for which your personal information will be stored, please contact me using the details outlined in section 3.

#### **Your rights**

52. Under data protection law you have certain rights in relation to the personal information that I hold about you. These include rights to know what information I hold about you and how it is used. You may exercise these rights at any time by contacting me using the details provided at section 3 above.

53. There will not usually be a charge for handling a request to exercise your rights.
54. If I cannot comply with your request to exercise your rights we will usually tell you why.
55. There are some special rules about how these rights apply to health information as set out in legislation including the Data Protection Act (current and future), the General Data Protection Regulation as well as any secondary legislation which regulates the use of personal information.
56. If you make a large number of requests or it is clear that it is not reasonable for me to comply with a request then we do not have to respond. Alternatively, I can charge for responding.

**Your rights include:**

***The right to access your personal information***

57. You are usually entitled to a copy of the personal information I hold about you and details about how I use it.
58. Your information will usually be provided to you in writing, unless otherwise requested. If you have made the request electronically (e.g. by email) the information will be provided to you by electronic means where possible.
59. Please note that in some cases I may not be able to fully comply with your request, for example if your request involves the personal data of another person and it would not be fair to that person to provide it to you.
60. You are entitled to the following under data protection law.
1. Under Article 15(1) of the GDPR I must usually confirm whether I have personal information about you. If I do hold personal information about you I usually need to explain to you:
    - i. The purposes for which I use your personal information
    - ii. The types of personal information I hold about you
    - iii. Who your personal information has been or will be shared with, including in particular organisations based outside the EEA.
    - iv. If your personal information leaves the EU, how I will make sure that it is protected
    - v. Where possible, the length of time I expect to hold your personal information. If that is not possible, the criteria I use to determine how long I hold your information for
    - vi. If the personal data I hold about you was not provided by you, details of the source of the information
    - vii. Whether I make any decisions about you solely by computer and if so details of how those decision are made and the impact they may have on you

- viii. Your right to ask me to amend or delete your personal information
  - ix. Your right to ask me to restrict how your personal information is used or to object to my use of your personal information
  - x. Your right to complain to the Information Commissioner's Office
2. I also need to provide you with a copy of your personal data, provided specific exceptions and exemptions do not apply.

***The right to rectification***

61. I take reasonable steps to ensure that the information I hold about you is accurate and complete. However, if you do not believe this is the case, you can ask me to update or amend it.

***The right to erasure (also known as the right to be forgotten)***

62. I may update this Privacy Notice from time to time to ensure that it remains accurate, and the most up-to-date version can always be found on my website. In the event that there are any material changes to the manner in which your personal information is to be used then I will provide you with an updated copy of this Privacy Notice.

63. In some circumstances, you have the right to request that I delete the personal information I hold about you. However, there are exceptions to this right and in certain circumstances I can refuse to delete the information in question. In particular, for example, I do not have to comply with your request if it is necessary to keep your information in order to perform tasks which are in the public interest, including public health, or for the purposes of establishing, exercising or defending legal claims.

***The right to restriction of processing***

64. In some circumstances, I must "pause" our use of your personal data if you ask me to do so, although I do not have to comply with all requests to restrict my use of your personal information. In particular, for example, I do not have to comply with your request if it is necessary to keep your information in order to perform tasks which are in the public interest, including public health, or for the purposes of establishing, exercise or defending legal claims.

***The right to data portability***

65. In some circumstances, I must transfer personal information that you have provided to you or (if this is technically feasible) another individual/ organisation of your choice. The information must be transferred in an electronic format.

### ***The right to object to marketing***

66. You can ask me to stop sending you marketing messages at any time and I must comply with your request. You can do this by contacting me using the details provided at section 3 above.

### ***The right to withdraw consent***

67. In some cases I may need your consent in order for my use of your personal information to comply with data protection legislation. Where we do this, you have the right to withdraw your consent to further use of your personal information. You can do this by contacting me using the details provided at section 3 above.

### ***The right to complain to the Information Commissioner's Office***

68. You can complain to the Information Commissioner's Office if you are unhappy with the way that I have dealt with a request from you to exercise any of these rights, or if you think I have not complied with our legal obligations.

69. More information can be found on the Information Commissioner's Office website:  
<https://ico.org.uk/>

70. Making a complaint will not affect any other legal rights or remedies that you have.

### **National Data Opt-Out Programme**

71. NHS Digital is currently developing a national programme which will go live on 25 May 2018, pursuant to which all patients will be able to log their preferences as to sharing of their personal information. All health and care organisations will be required to uphold patient choices, but only from March 2020. In the meantime you should make me aware directly of any uses of your data to which you object.

### **Updates to this Privacy Notice**

72. I may update this Privacy Notice from time to time to ensure that it remains accurate. In the event that these changes result in any material difference to the manner in which I process your personal data then I will provide you with an updated copy of the Policy.

73. This Privacy Notice was last updated on 21<sup>st</sup> May 2018.